

# COMMONWEALTH OF PENNSYLVANIA INSURANCE DEPARTMENT

## QUALIFICATION AND APPLICATION REQUIREMENTS FOR SURPLUS LINES ELIGIBILITY - ALIEN

The Commonwealth of Pennsylvania appreciates your interest in exploring business opportunities here. This packet will explain the procedures to be followed and the documentation to be filed to obtain listing on the Department's list of eligible surplus lines insurers.

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- I. General Application Procedures
- II. Specific Qualifications and Filing Requirements

Please refer to the following law and regulation for guidance:

Article XVI of the Insurance Company Law (40 P.S. Section 991.1601 *et seq.*)  
31 Pa. Code, Chapter 124

### I. GENERAL APPLICATION PROCEDURES

*Adherence to the following procedures should result in your application being processed in the most expeditious manner possible:*

- 1) It is requested that status inquiries for pending applications be handled by written correspondence.
- 2) Responses to any concerns or questions raised during the review of the applicant company's material should be filed within thirty (30) days of the date of the correspondence. Department inquiries that remain unanswered for over ninety (90) days may result in the application being considered inactive resulting in the file being purged.
- 3) The applicant company is required to maintain its application and all accompanying documents on a current basis. Any changes to documents previously submitted to the Department should be immediately forwarded to the Department to supplement the original application.

## II. SPECIFIC QUALIFICATIONS AND FILING REQUIREMENTS

***The following qualification must be met by those companies seeking surplus lines eligibility (See Section 1605 of the Insurance Company Law (40 P.S. Section 991.1605)):***

The company is listed on the Quarterly Listing of Alien Insurers maintained by the International Insurers Department of the National Association of Insurance Commissioners (“NAIC”).

***If the company meets the above qualification, the following item should be provided:***

A written request for surplus lines eligibility to include documentation evidencing listing by the NAIC.

***If you have any questions concerning statutory requirements or filing procedures, please feel free to contact the Company Licensing Division at (717) 787-2735.***