

**BEFORE THE INSURANCE DEPARTMENT  
OF THE  
COMMONWEALTH OF PENNSYLVANIA**

Statement Regarding the Acquisition of Control of or Merger with  
Domestic Insurers:

Highmark Inc.; First Priority Life Insurance Company, Inc.;  
Gateway Health Plan, Inc.; Highmark Casualty Insurance Company;  
Highmark Senior Resources Inc.; HM Casualty Insurance Company;  
HM Health Insurance Company, d/b/a Highmark Health Insurance Company;  
HM Life Insurance Company; HMO of Northeastern Pennsylvania, Inc.,  
d/b/a First Priority Health; Inter-County Health Plan, Inc.;  
Inter-County Hospitalization Plan, Inc.; Keystone Health Plan West, Inc.;  
United Concordia Companies, Inc.; United Concordia Dental Plans of Pennsylvania, Inc.;  
United Concordia Life and Health Insurance Company

By UPE, a Pennsylvania nonprofit corporation

**RESPONSE TO INFORMATION REQUEST 4.1.3 FROM THE  
PENNSYLVANIA INSURANCE DEPARTMENT**

**REQUEST 4.1.3:**

**Provide references to the Pennsylvania Insurance Code, Hospital Plan Corporation Act and/or Professional Health Services Plan Corporation Act for applicable licensing standards for each Highmark Affiliate that operates in Pennsylvania.**

**RESPONSE:**

Section 215 of the Insurance Company Law of 1921, Act of May 17, 1921, P.L. 682, No. 284 (as amended, 40 P.S. § 405), sets forth the standards for the issuance of a certificate of authority to a Pennsylvania domestic insurance company.

Section 630 of the Insurance Company Law of 1921, Act of May 17, 1921, P.L. 682, No. 284 (as amended, 40 P.S. §764(a)), sets forth the standards for the approval of an application to commence operations as a provider organization (“PPO”).

Section 5 of the Health Maintenance Organization Act, Act of December 29, 1972, P.L. 1701, No. 364 (as amended, 40 P.S. § 1555.1), sets forth the standards for the issuance of a certificate of authority to a health maintenance organization.

Section 6102 of the Health Plan Corporations Act, Act of November 15, 1972, P.L. 1063, No. 271, (40 Pa. C.S. §6102(d)), sets forth the standards for the issuance of a certificate of authority to operate a nonprofit hospital plan.

Section 6304 of the Health Plan Corporations Act, Act of November 15, 1972, P.L. 1063, No. 271, (40 Pa. C.S. § 6304(d)), of the Health Plan Corporations Act sets forth the standards for the issuance of a certificate of authority to operate a nonprofit professional health services plan.

**UPE**  
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