2018 ACA COMPLIANCE CHECKLIST – DENTAL EXCHANGE OR EXCHANGE-CERTIFIED PLANS

Filing Company: _____ SERFF Tr. No. _____

Please confirm, by checking the appropriate box, the compliance status of the referenced form(s) with each specified ACA requirement.

A. MARKET REFORMS				
Statutory Requirement	ACA/PHSA Section	Product Applicability	Location of Provision in the Form	Certification of Compliance
Elimination of Annual Limits (as to pediatric EHB)	ACA §1001/PHSA §2711	☐ Group ☐ Individual ☐ Grandfathered Group ☐ Transitional		☐ Yes ☐ No
Elimination of Lifetime Limits (as to pediatric EHB)	ACA §1001/PHSA §2711	☐ Group ☐ Individual ☐ Grandfathered Group ☐ Grandfathered Individual ☐ Transitional		☐ Yes ☐ No
Non-Discrimination in Health Care	ACA §1201/PHSA §2706	☐ Group ☐ Individual		☐ Yes ☐ No
Preventive Health Services (as to pediatric EHB)	ACA §1001/PHSA §2713	☐ Group ☐ Individual		☐ Yes ☐ No

A. MARKET REFORMS				
Statutory Requirement	ACA/PHSA Section	Product Applicability	Location of Provision in the Form	Certification of Compliance
		☐ Transitional		
EHB Package – Pediatric Dental	ACA §1302	☐ Group ☐ Individual ☐ Transitional		☐ Yes ☐ No
Requirements Related to Cost-Sharing (as to pediatric EHB)	ACA §1302; 45 C.F.R. §156.150	☐ Group ☐ Individual ☐ Transitional		☐ Yes ☐ No
Actuarial Value (as to pediatric EHB)	ACA §1302; 45 CFR §156.150	☐ Group ☐ Individual ☐ Transitional		☐ Yes (High and Low Plans) ☐ No
Child-Only Plan	ACA §1302(f)	☐ Group ☐ Individual ☐ Transitional		☐ Yes ☐ No

B. QHP REQUIREMENTS				
Statutory Requirement	ACA/PHSA Section	Product Availability	Location of Provision in the Form	Certification of Compliance
Marketing Requirements	ACA §1311(c)(1)	☐ Group ☐ Individual		☐ Yes ☐ No
Network Adequacy Requirements	ACA §1311(c)(1)	☐ Group ☐ Individual		☐ Yes, Approved on_____ ☐ No, If review pending, submitted on _____
Essential Community Providers Requirement	ACA §1311(c)(1)	☐ Group ☐ Individual		☐ Yes ☐ No
Exchange Functions: Certification of Qualified Health Plan Regulations	45 C.F.R. Subtitle A Subchapter B Part 155 Subpart K	☐ Group ☐ Individual		☐ Yes ☐ No
Exchange Health Insurance Issuers Standards Regulations	45 C.F.R. Subtitle A Subchapter B Part 156	☐ Group ☐ Individual		☐ Yes ☐ No