

ACA-Compliant Filing Activities for the 2021 Plan Year (blue shading indicates dates that apply to QHP and non-QHP issuers; green shading indicates dates that apply only to QHP issuers)		Dates (approximate)
Form, Rate and Binder Submission to PID	All health insurers that wish to issue or renew ACA-compliant individual and small group health insurance coverage on or after January 1, 2021 must file their forms, rates and plan binders containing all the required templates via SERFF beginning May 7, 2020, but no later than May 19, 2020. Late filings will not be accepted.	5/7/2020 - 5/19/2020
Completeness review of Forms and Binders	PID reviews submissions for completeness and provides feedback to issuers	5/20/2020 – 5/22/2020
Form, Rate and Binder Review Process	Ongoing form, rate and binder review process in SERFF	5/20/2020 - 8/11/2020
Initial SERFF Data Transfer	PID uses SERFF to transmit complete and accurate QHP Application Data to CMS	6/17/2020
CMS First Correction Notices	CMS posts results (correction notices) in PM Community	6/18/2020 - 8/12/2020
PID Final Deadline for Corrected Application Data	Issuers submit final forms, rates and binders to PID	8/18/2020
Final SERFF Data Transfer	PID transfers final QHP Application Data to CMS/Pennie	8/26/2020
Limited Data Correction Window	Issuers with CMS or state identified data errors may submit corrections	9/7/2020 – 9/18/2020
QHP Certification Agreements	CMS/Pennie send QHP Certification Agreements to issuers	9/15/2020
Plan Lists	CMS/Pennie send final Plan Lists to PID for confirmation	9/15/2020 – 9/23/2020
Final QHP Agreement, Plan Confirmation, and Certification	Issuers send signed Agreements, confirmed Plan Lists, and final Plan Crosswalks to CMS/Pennie	9/15/2020 – 9/23/2020
	PID sends CMS/Pennie Final Plan Recommendation	9/15/2020 – 9/23/2020
	CMS/Pennie send Certification Notices with countersigned Agreements and final plan lists to issuers	10/5/2020 – 10/6/2020
Open Enrollment Begins		11/1/2020